Sustainable Development Validation Appraisal Workplan

Version:

Date:

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| **Members of the Audit team** | |
| **Last Name** | **First Name(s)** |
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| **Project Details** | | | | |
| **GS ID** |  | **Project Name** |  | |
| **GS Version** | |  | **Host Country** |  |

*(Only for micro-programmes)*

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| **Micro-Programme Details** | | | |
| **GS ID** |  | **Programme Name** |  |
| **GS Version** | |  | |

Desk review

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| **SD Validation Timeframe** | | | |
| **Desk Review** | Startdate **[[1]](#footnote-1)** | | End date[[2]](#footnote-2) |
| **Dates of site visit** | |  | |

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| **Documents reviewed (please list)** |
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| **Documents not submitted for desk review[[3]](#footnote-3)** |
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Site visit approach

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| **Site visit** | |
| **Please describe the elements of the project to be visited e.g. project facilities, power house, dams, transmission lines, roads built by project, beneficiaries’ houses etc.** |  |
| **Sampling method approach (if applicable)[[4]](#footnote-4)** |  |

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| **Planned Interviews[[5]](#footnote-5)** (check the box if interviews are planned with this group) | |
| Project Proponents | **This group includes project owners, consultants and technology suppliers. Please include their names and designation if available.** |
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| Local Residents and project neighbours | **Please include a description of how the stakeholders were identified and if they have been contacted in advance to schedule the interview.** |
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| Project Beneficiaries  (Individual, Institutional) | **Please provide a brief explanation on how you will select the beneficiaries (e.g. whether they will be randomly selected or not), how the area to be visited will be chosen, and if there is any interest in interviewing a specific group.** |
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| Project Employees (this includes workers involved in the construction) | **Interviews with project employees may be included as part of the site visit. List the areas and/or positions that you would like to be interviewed e.g. workers that load the biomass.** |
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| Authorities  (Local, Estate, Federal) | **Remember that Authorities need to be contacted in advance to schedule a meeting or interview. Please confirm if authorities have been contacted. Please include the name and position if available.** |
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| NGOs | **Please mention the NGOs that have been identified for interviews. Please outline if the NGO is a GS NGO Supporter or not.** |
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| Other | **Please specify if any other group will be interviewed e.g. academics, researchers working in the area, etc.** |
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| **Please explain how the interviews planned will help to validate the Local Stakeholder Consultation (LSC) and the Stakeholder Feedback Round (SFR).** | |
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| **Other approach** | |
| **Please describe any other approach to be used during the onsite visit, e.g. questionnaires to be applied[[6]](#footnote-6), or phone interviews etc.** |  |

Sustainable Development Assessment

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| Do No Harm Assessment | **Please list all the safeguarding principles which scored medium or high risk, and please describe what information will be collected on site to complete the assessment *(e.g. interview with project employees described above can help to crosscheck the risk described and if the mitigation measures proposed are indeed adequate.)*** |
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| Environmental, Social and Economic issues, | **Please list all the indicators identified with potential negative risk, and please describe briefly how the site visit will help to assess the real impact.** *(e.g. For HEPP: During the site visit the main water stream will be visited to ensure that the project will not reduce water flowing from and into other streams. Also interviews with stakeholders who live or work close by the project will serve to determine if there are any water related issues attributable to the project.)* |
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| Continuous Inputs & Grievance Mechanisms | **SD Auditor must check that the Continuous Inputs & Grievance Mechanism has been discussed during the LSC meeting.** |
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| Environmental & social impact assessment (ESIA) | **In the case when the ESIA does not include the assessment of all the relevant aspects of the project, the site visit can help to identify if the missed items represent a risk for the project. Please list below the items that will be reviewed during the site visit.** |
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| Other | **Please explain any other aspect that should be reviewed during the visit and why.** |
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| **Additional Comments. Please include any other comments that may be useful to the site visit (e.g. Date when SD Auditor will arrive to the project city, etc)** |
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Annex I, Clarification and Corrections

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| **All the Clarification and Corrections identified by the SD Auditors must be listed in this Annex. Please include any observations or informationfrom the site visit that could be useful to address these clarifications or corrections. Project Proponents are not required to submit a formal response, but they are required to provide all the information (during site visit and further stages) to address the comments summarized here.** |
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Annex II, Supporting Questionnaire

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| **Please provide the questionnaire that you plan to use for the interviews.** |
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1. Starting date of desk review is the date when the SD Auditors were selected to conduct the SD Validation Appraisal. [↑](#footnote-ref-1)
2. This date is defined as the date when SD Auditors submitted the SD Validation Appraisal workplan. [↑](#footnote-ref-2)
3. Please list all the documents that were not submitted for desk review. [↑](#footnote-ref-3)
4. Please remember that sampling method can follow the ‘General guidelines for sampling and surveys for small-scale CDM project activities’ (Link: <http://cdm.unfccc.int/EB/050/eb50_repan30.pdf>). [↑](#footnote-ref-4)
5. The interviews are not limited to the ones described here, other stakeholders can be interview if considered appropriate. [↑](#footnote-ref-5)
6. If questionnaires are planned to be used, please include the list of questions,and the method for collecting answers e.g. multi choice, open questions, etc. in Annex 2 [↑](#footnote-ref-6)